

02/04/00  
JC759 U.S. PTO

02-07-00

A

+

PTO/SB/05 (2/98) (modified)  
Approved for use through 9/30/2000, OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>NEW UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket Number	3994 (CFP1317US)
	First Named Inventor	Saeed Anooshfar
	Total Pages in this Submission	102
	Express Mail Label No.	EL444652806US

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed 2. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i> <input type="checkbox"/> Descriptive Title of the Invention <input type="checkbox"/> Cross Reference(s) to Related Case(s) <input type="checkbox"/> Statement Regarding Fed sponsored R & D <input type="checkbox"/> Background of the Invention <input type="checkbox"/> Brief Summary of the Invention <input type="checkbox"/> Brief Description of the Drawing(s) <input type="checkbox"/> Detailed Description <input type="checkbox"/> Claim or Claims <input type="checkbox"/> Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) <i>( when necessary per 35 USC 113)</i> 4. Oath or Declaration a. <input checked="" type="checkbox"/> New Declaration <input checked="" type="checkbox"/> Executed b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation by Reference <i>(useable if Box 4b is checked)</i> . The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	6. <input type="checkbox"/> Assignment & Assignment Recordation Cover Sheet 7. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 8. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s) 9. <input type="checkbox"/> Preliminary Amendment 10. Small Entity Statement <input type="checkbox"/> New Statement enclosed <input type="checkbox"/> Statement filed in prior application. Status still proper and desired 11. <input checked="" type="checkbox"/> Return Postcard 12. <input type="checkbox"/> _____ 13. <input type="checkbox"/> _____ 14. <input type="checkbox"/> _____ 15. <input type="checkbox"/> _____ 16. <input type="checkbox"/> _____

**ADDRESS TO:**

**Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231**

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_/\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

**18. CORRESPONDENCE ADDRESS**

NAME	Michelle K. Lee, Esq. Fenwick & West LLP				
ADDRESS	Two Palo Alto Square				
CITY	Palo Alto	STATE	CA	ZIP CODE	94306
COUNTRY	U.S.A.	TELEPHONE	(650) 858-7151	FAX	(650) 494-1417
Name (Print/Type)	Michelle K. Lee, Esq.			Registration No. (Attorney/Agent)	40,695
Signature	Michelle K. Lee			Date	2/4/00

## Computer Network Scanning

**Inventor:** Saeed Anooshfar

### **Technical Field**

This invention pertains to the field of creating scan orders from a local computer terminal and fulfilling the scan order using scanner nodes connected to a computer network and shared by  
5 all computer terminals on the network.

### **Background Art**

In the traditional mode of operation, requesting the scanning of a document located remotely is a very time-consuming, inefficient and error-prone process. Under such traditional mode, a requestor must send a request to the location where the document resides. The recipient  
10 and processor of the request (hereafter “scan clerk”) must manually prepare the scanner for operation according to the specifications of the requestor including, for example, setting scanner options such as color, resolution, format, number of pages and security password. To make matters more difficult, scanners often have limited user interface capabilities, with minimalistic, button-oriented control panels. Programming a long set of actions using few buttons can be  
15 cumbersome and frustrating.

After the document has been scanned into the scanner in the traditional mode, the scan clerk must manually forward the scanned document to each of the designated recipients per the instructions of the requestor. This typically requires, among other things, the manual creation of a coversheet for each recipient which includes contact information and any other special  
20 instructions and the physical sending of the scanned document along with coversheet to each

recipient. Needless to say, the creation of such a coversheet for and the sending of the scanned document to each recipient is a tedious, time-consuming and error-prone process.

In addition, under the traditional mode of operation, there is no way of automatically notifying the requestor (or others) that the scan order has been processed. If the requestor  
5 requires such notification, the scanner clerk must either physically deliver to the requestor a copy of the notification (such notification often consisting of a copy of the scanned document along with the coversheet time-stamped) to the requestor, or draft and send an email to the requestor.

Moreover, under the traditional mode of operation, because the scanners are not linked via a computer network, the requestor can send the scan request to only a single scan clerk  
10 for processing at a single scanner. If such person and/or scanner is busy, non-functioning or otherwise unavailable, the requestor must wait until such resource becomes free and available, even though there may be other scanners and scanner clerks with the capability to process such request within the rest of the company. In short, having scanners which are not linked together on a computer network fails to optimize the use of the available scanning resources since  
15 scanning jobs directed to a busy scanner cannot be re-routed to another scanner which is not being utilized.

Given the foregoing, there is a need for an automated, networked, easy-to-use, resource maximizing system and method for scanning documents.

### **Disclosure of Invention**

20 The present invention is a computer network scanning system (100) and method (300) for creating and fulfilling a scan order over a computer network. The computer network scanning system (100) comprises: (A) at least one computer terminal (105) adapted to receive input for

the creation of the scan order; (B) at least one order entry server computer (115) configured to create and distribute the scan order, each order entry server computer (115) coupled to each terminal (105); and (C) at least one scanner node (125), each scanner node (125) coupled to each order entry server computer (115) and each scanner node (125) configured to process scan orders (1100) sent to the scanner node (125). The computer network scanning method (300) comprises: (W) creating a scan order (1100); (X) submitting the scan order (1100) to scanner node(s) (125); (Y) processing the scan order (1100) at the scanner node(s) (125); and (Z) updating the system (100) of the completion of the scan order (1100).

### **Brief Description of the Drawings**

These and other more detailed and specific objects and features of the present invention are more fully disclosed in the following specification, reference being made to the accompanying drawings, in which:

Figure 1 is a diagram of one embodiment of the computer network scanning system 100 of the present invention.

Figure 2 is a block diagram of one embodiment of the computer network scanning system 100 of the present invention.

Figure 3 is a flow diagram illustrating a first embodiment of the computer network scanning method 300 of the present invention.

Figure 4 is a flow diagram illustrating one method of creating a scan order 310 in the present invention.

Figure 5 is a flow diagram illustrating one method of reconciling 420 inputted scanner settings and parameters with a capability profile of each scanner node 125 designated in a scan order 1100.

Figure 6 is a flow diagram illustrating one method of processing 320 a scan order 1100 in the present invention.

Figure 6A is a flow diagram illustrating one method of updating the queue of scan orders 1100 at a scanner node 125.

5        Figure 7 is a flow diagram illustrating one method of setting 645 the scanner node 125 to the desired settings and parameters as specified in a scan order 1100.

Figure 8 is a flow diagram illustrating one method of updating the computer network scanning system 100 in the first embodiment of the present invention.

10       Figure 9 illustrates a sample scan order entry form 900 in an embodiment of the present invention.

Figure 10 illustrates a sample list 1000 of scanner nodes 125 on a computer network scanning system 100 of the present invention.

Figure 11 illustrates a sample scan order 1100 in an embodiment of the present invention.

Figure 12 illustrates examples of various user interfaces of a scanner node 125.

15       Figure 13 is a block diagram of a second embodiment of the computer network scanning system 100 of the present invention, in which the scan orders 1100 are stored in and retrieved from a central database 1305.

20       Figure 14 is a flow diagram illustrating an alternative embodiment of the computer network scanning method 1400 of the present invention, in which scan orders 1100 are stored in and retrieved from a central database 1305.

### **Detailed Description of the Preferred Embodiments**

Figure 1 is a diagram of one embodiment of the computer network scanning system 100 of the present invention. The computer network scanning system 100 includes at least one local computer terminal 105, at least one order entry server computer 115, and at least one scanner node 125, all coupled via a computer network 140 as shown in Figure 1, which network 140 may be wired, wireless or both. There may be an arbitrary number of terminals 105(l)-105(m), order entry server computers 115(l)-115(n), and scanner nodes 125(l)-125(o), and the scanner nodes 125 may have different configurations. For example, the scanner node 125(o) consists of a scanner and a server, whereas the scanner node 125(1) consists simply of a scanner with processor on the scanner.

A requestor may enter his or her scan order 1100 via a scan order entry form 900, a sample of which form is shown in Figure 9, through a terminal 105. A sample scan order 1100 is shown in Figure 11. An order entry server computer 115 coupled to the terminal 105 facilitates the creation and submission of the scan order 1100 for processing by the scanner node(s) 125 designated in the scan order 1100. Each scanner node 125 designated in the scan order 1100 receives the scan order 1100 sent by the order entry server computer 115, processes the scan order 1100, then updates the computer network scanning system of the completion of such scan order 1100.

Figure 2 is a block diagram of one embodiment of the computer network scanning system 100 of the present invention. As shown in Figure 2, a terminal 105 has access to browser software 215. An order entry server computer 115 includes a user interface module 225, a scanner directory service module 230, a scan order reconciler module 235, an email server module 240, and a script writer module 245. Each module of the order entry server computer

115 is coupled to another as shown in Figure 2, and the modules may be implemented in hardware, software and/or firmware.

A requestor sitting at a local terminal 105 uses the browser software 215 to invoke a scan order entry form 900. An order entry server computer 115, among other things, (a) provides a scan order entry form 900 to each requestor via the computer network 140 so the requestor can input the relevant scanner settings and parameters, (b) verifies, using the scan order reconciler module 235, that the scanner nodes 125 selected by the requestor are capable of performing the requested scanning, (c) converts, using the script writer module 245, the input received from the requestor into a scan order 1100, and (d) emails, using the email server module 240, the scan order 1100 over the computer network 140 to the scanner node(s) 125 designated in the scan order 1100.

In one embodiment of the computer network scanning system 100, the scanner directory service module 230 is a database containing a capability profile of each scanner node 125 on the computer network 140, each capability profile having been entered into the database in advance of the use of the computer network 100. In an alternative embodiment of the computer network scanning system 100, the scanner directory service module 230 is a protocol based lookup/discovery software module such as Sun Microsystems, Inc.'s JINI which can generate a capability profile for a given scanner node 125 on demand. A capability profile may include such information as URL, user-friendly name, model, geographical location, resolution and color scanning ability of the scanner node 125. The above embodiments simply illustrate two implementations of the scanner directory service module 230. Any other software, hardware and/or firmware which is capable of providing or determining the capabilities of the scanner nodes on the computer network can also be used as a scanner directory service module.

The scan order entry form 900 may contain multiple fields, each field capable of receiving relevant input information from the requestor such as requestor's email address, address(es) of recipient(s) of the scanned document, address(es) of parties to be notified of the scanning (but not sent a copy of the scanned document), name of document to be scanned, selected scanner node(s) 125, resolution setting of scanner node(s) 125, and any additional instructions. As noted above, an example of a scan order entry form 900 is shown in Figure 9. By clicking on "Scanner(s)" under "Device Settings" shown in Figure 9, the requestor receives the scanner nodes 125(1)-125(o) available on the computer network 140 as shown in Figure 10. The requestor may then select which scanner node(s) 125(1)-125(o) he or she wishes to process the scan order 1100 by checking the "select" column in Figure 10. As can be seen in Figure 10, the list of scanner nodes 125 may include such information as scanner name, model, whether the scanner node 125 is capable of color scans, location, contact information and any other special notes. The list of scanner nodes 125 shown in Figure 10 is generated by the scanner directory service module 230.

As further illustrated in Figure 2, a scanner node 125 includes a script interpreter module 260, a scanner driver module 265, a scanner module 275, an email server module 280, a scan order queue updater and sorter module 270, and a user interface module 1355. Each module of the scanner node 125 is coupled to another as shown in Figure 2, and each module may be implemented in hardware, software and/or firmware. A scanner node 125, among other things, (a) parses the scan order 1100 using the script interpreter module 260, (b) sets the settings and parameters of the scanner module 275 using the scanner driver module 265, (c) scans the item designated in the scan order 1100 to create an electronic image of the item using the scanner module 275, (d) updates and sorts the queue of the scanner node 125 using the scan order queue updater and sorter module 270, (e) using the email server module 280, sends the electronic image of the scanned item to the address(es) indicated in the scan order 1100, (f) notifies the requestor and any other parties indicated in the scan order 1100 of the completion of the scan order 1100



using the email server module 280, (g) updates the scanner nodes 125 on the computer network 140 of the completion of the scan order 1100. The operations of the order entry server computer 115 and scanner node 125 are described in greater detail in connection with the discussion associated with Figures 3-8. Examples of various user interfaces of a scanner node 125 are illustrated in Figure 12. Specifically, Figure 12A illustrates the user interface of the scanner node 125, which permits copying, faxing, scanning or viewing of scan orders 1100. Figure 12B illustrates an example of a list of scan orders 1100 in a queue of a scanner node 125. Figure 12C illustrates a scan order 1100 that has been selected for processing and that appears on the user interface 255 of a scanner node 125.

Figure 3 is a flow diagram illustrating one embodiment of the computer network scanning method 300 of the present invention. At the start 305 of the operation, the requestor situated at a terminal 105 interacts with an order entry server computer 115 to create 310 a scan order 1100. After creating 310 the scan order 1100, the order entry server computer 115 submits 315 the scan order 1100 to the scanner node 125 designated in the scan order 1100 using the email server module 240, and the scanner node 125 processes 320 the scan order 1100. Finally, the scanner node 125 updates all relevant scanner nodes 125 of the completing of the scan order 1100. In a preferred embodiment, the email server module 280 performs such updating by sending emails to such scanner nodes 125.

Figure 4 is a flow diagram illustrating one method of creating a scan order 1100 in the present invention. As shown in Figure 4, creating 310 a scan order 1100 includes the following steps: (a) accessing 410 from an order entry server computer 115 a user interface module 225 which permits the input of a scan order 1100 from a local terminal 105; (b) inputting from the local terminal 105 a desired set of scanner settings and parameters through the accessed user interface module 225 at the terminal 105; (c) reconciling 420 the inputted scanner settings and parameters with a capability profile associated with each scanner node 125 designated in the scan

order 1100; and (d) converting 425 the reconciled scanner settings and parameters into a scan order 1100 using the script writer module 225 in the order entry server computer 115. In a preferred embodiment of the present invention, the step of accessing 410 a user interface module 225 may involve the use of a Web browser to retrieve (i.e., download) a World Wide Web page which is adapted to receive input concerning scanner settings and parameters.

As noted above, a sample scan order 1100 is shown in Figure 11. As can be seen in Figure 11, the scan order 1100 may be a script in standard ASCII text format and may contain special mark-up coding language to facilitate the parsing and interpretation of the scan order 1100 by the script interpreter module 260 as discussed in greater detail below.

Figure 5 illustrates a flow diagram for one method of reconciling 420 inputted scanner settings and parameters with a capability profile of each scanner node 125 designated in the scan order 1100. At the start 505 of the operation, the order entry server computer 115 retrieves 510 from the scanner directory service module 230 the capability profile of each scanner node 125 designated in the scan order 1100. The order entry server computer 115 then compares 515 the retrieved capability profiles with the scan order 1100 and determines 520 whether the scan order 1100 is inconsistent with any retrieved capability profile. If yes, the order entry server computer 115 provides notification 525 of the inconsistency to the requestor using the user interface module 225 and permits 530 either: (a) the selection of an alternative scanner node  $125(x_1)$ ; or (b) the acceptance of the selected scanner node  $125(x_0)$ , even though such scanner node  $125(x_0)$  lacks the full, desired capabilities. Otherwise, if the scan order 1100 is consistent with all retrieved capability profiles, reconciliation 420 is complete 535. For example, if a requestor has requested the scanning of a document with 1200 dpi resolution, but has selected a scanner node  $125(x_0)$  that can handle resolution of only 600 dpi or lower, the entry order server 115 will warn the requestor of this inconsistency and permit the requester to select another scanner node  $125(x_1)$

(presumably capable of scanning with 1200 dpi resolution) or to continue with the originally selected scanner node 125( $x_0$ ) which can scan with only 600 dpi resolution.

Figure 6 illustrates a flow diagram of one method of processing a scan order 1100 in the present invention. At the start 605 of the operation, the scanning mode of the scanner node 125 is invoked 610. Next, the script interpreter module 260 in the scanner node 125 parses 615 the scan order 1100. The scanner node 125 then: (a) updates 620 the queue of scan orders 1100 at the scanner node 125 using a process which eliminates from the queue all scan orders 1100 that are time-expired or count-expired; (b) prioritizes 625 the scan orders 1100 in the updated queue according to a predetermined algorithm; (c) lists 630 the prioritized scan orders 1100; (d) has one of the scan orders 1100 in the queue selected 635; (e) has the item to be scanned obtained 640; (f) sets 645 the scanner node 125 to the desired settings and parameters as specified in the scan order 1100; (g) has the item to be scanned placed 650 into the scanner module 275; (h) initiates 655 scanning; (i) sends 660 a scanned image to the address(es) and according to the instructions specified in the scan order 1100 using the email server module 280; and (j) sends 670 notification using the email server module 280 of completion of the scan order to any parties indicated in the scan order 1100. Possible predetermined algorithms in step (b) above include, but are not limited to, first-in first-out, alphabetical based on requestor's name or document name, or requestor-specified priority level (e.g., high, medium or low).

Figure 6A is a flow diagram of one method of updating 620 the queue of scan orders 1100 at a scanner node 125, as described in step (a) in the paragraph above. At the start 6A05 of the operation, the scanner node 125 determines 6A10 whether the scan order 1100 has time-expired. If yes, the scanner node 125 removes 6A25 the scan order 1100 from the queue. If no, the scanner node 125 determines 6A15 whether the scan order 1100 has count-expired. In other words, if a scan order 1100 indicates that it is to be performed a multiple number of times, the scan order 1100 has "count-expired" if the scan order 1100 has already been performed the

specified number of times. If the scan order 1100 has "count-expired," the scanner node 125 removes 6A25 the scan order 1100 from the queue. If the scan order 1100 has not "count-expired," the scanner node 125 determines 6A20 whether there is a count reduction notification for the scan order 1100. If yes, the count associated with the scan order 1100 is reduced 6A30, and the steps illustrated in Figure 6A are repeated. Otherwise, the updating 620 has been completed 6A35.

Figure 7 is a flow diagram of one method of setting the scanner node 125 to the desired settings and parameters as specified in the scan order 1100. At the start 705 of the operation, the scanner node 125 parses 710 the scan order 1100 using the script interpreter module 260 in the scanner node 125, and the scanner node 125 sends 715 commands to the scanner driver module 265 based upon information obtained from the parsed scan order 1100.

Figure 8 is a flow diagram of one method of updating 325 the scanner nodes 125 in the computer network 140 after a scanner node  $125(x_0)$  has processed a scan order 1100. At the start 805 of the operation, the scanner node  $125(x_0)$  that processed the scan order 1100 either requests removal 810 of the scan order from its queue or requests count reduction of the scan order. The scanner node  $125(x_0)$  requests removal of the scan order 1100 when the count in the scan order 1100 is one. Next, the scanner node  $125(x_0)$  checks 815 to see if the scan order 1100 was sent to any other scanner node(s)  $125(x)$ . If the scanner node  $125(x_0)$  determines 820 that the scan order 1100 was sent to other scanner node(s)  $125(x)$ , the scanner node  $125(x_0)$  that processed the scan order 1100 sends an email using the email server module 280 to the other scanner node(s)  $125(x)$  that received the scan order 1100, requesting either removal or count reduction of the scan order 1100. Again, the scanner node  $125(x_0)$  requests removal of the scan order 1100 when the count in the scan order 1100 is one. Otherwise, if the scanner node  $125(x_0)$  determines 820 that the scan order 1100 was not sent to other scanner node(s)  $125(x)$ , the updating is complete 830.

Figure 13 is a block diagram of an alternative embodiment 1300 of the computer network scanning system of the present invention, in which the scan orders 1100 are stored in and retrieved from a central database 1305. As shown in Figure 13, a terminal 105 has access to browser software 1315.

5 An order entry server computer 1310 includes a user interface module 1325, a scanner directory service module 1330, a scan order reconciler module 1335, a central database 1305, and a script writer module 1345. The scanner directory service module 1330 is identical to scanner service directory module 230, scan order reconciler module 1335 identical to scan order reconciler module 235, and script writer module 1345 identical to script writer module 245,  
10 hence the similar numbers and names. As with the modules in Figure 2, each module of the order entry server computer 115 in Figure 13 is coupled to another as shown in Figure 13, and the modules may be implemented in hardware, software and/or firmware. The only differences between the order entry server computer 115 illustrated in Figure 2 versus the order entry server computer 1310 illustrated in Figure 13 are: (A) the replacement of the email server module 240  
15 with the central database 1305; and (B) an enhanced user interface module 1325. In an alternative embodiment, the central database 1305 may be added to (and need not replace the email server module 1306 in) the order entry server computer 1310. The enhanced user interface module 1325 of Figure 13 has the same structure and functionality as the user interface module 225 in Figure 2, except that the enhanced user interface module 1325 also permits the requestor  
20 to check the status of a scan order 1100 stored in the central database 1305 and to manipulate such scan order 1100.

In the alternative embodiment shown in Figure 13, instead of emailing via the email server module 240 the scan order 1100 over the computer network 140 to the scanner node 1323 designated in the scan order 1100, the entry order server 1310 sends the reconciled scan order  
25 1100 to a central database 1305. The central database 1305, which may reside in the order entry

server computer 1310 as illustrated in Figure 13, or outside, contains a record of all scan orders 1100 submitted to the computer network scanning system 1300. Each scanner node 1323 on the computer network 140 has access to the contents of the central database 1305. When a scanner node 1323 has completed processing a scan order 1100 assigned to it, the scan order 1100 is  
5 deleted from the central database 1305. The central database 1305 may be used to track the status of all outstanding scan orders 1100 processed by the computer network scanning system 1300.

As further illustrated in Figure 13, the alternative embodiment 1300 of the computer network scanning system 1300 also includes at least one scanner node 1323. The scanner node  
10 1323 comprises a script interpreter module 1360, a scanner driver module 1365, a scanner module 1375, an email server module 1380, a scan order retrieval, queue updater and sorter module 1370, and a user interface module 1355. Each module of the scanner node 1323 is coupled to another as shown in Figure 13, and each module may be implemented in hardware, software and/or firmware. The script interpreter module 1360 is identical to the script interpreter  
15 module 260, scanner driver module 1365 identical to scanner driver module 265, scanner module 1375 identical to scanner module 275, email server module 1380 identical to email server module 280, and user interface module 1355 identical to user interface module 255, hence the similar names and numbers. The scan order retrieval, queue updater and sorter module 1370 is also identical to the scan order queue updater and sorter module 270, except that the scan order  
20 retrieval, queue updater and sorter module 1370 also retrieves scan orders 1100 from the central database 1305 each time the resources of the scanner node 1323 become available.

Otherwise, the scanner node 1323, like the scanner node 125, (a) invokes the scanning mode, (b) parses the scan order 1100 using the script interpreter module 1360, (c) updates the queue of scan orders 1100 at the scanner node 1323, (d) prioritizes the scan orders 1100 in the  
25 updated queue according to a predetermined algorithm, (e) lists the prioritized scan orders 1100, (f) selects one of the scan orders 1100 in the queue, (g) obtains the item to be scanned, (h) sets

the scanner node 1323 to the desired settings and parameters as specified in the scan order 1100, (i) has items to be scanned placed into the scanner module, (j) has scanning initiated, (k) sends a scanned image to the address(es) and according to the instructions specified in the scan order 1100 using the email server module 1380, and (l) sends notification using the email server module 1380 of completion of the scan order to any parties indicated in the scan order 1100.

Figure 14 is a flow diagram of an alternative embodiment 1400 of the computer network scanning method of the present invention, in which the scan orders 1100 are stored in and retrieved from a central database 1305. At the start 1405 of the operation, the requestor situated at a terminal 105 interacts with an order entry server computer 1310 to create 1410 a scan order 1100. After creating 1410 the scan order 1100, the order entry server computer 1310 stores 1415 the scan order 1100 in the central database 1305. When a scanner node 1323 becomes available, the scanner node 1323 retrieves 1420 from the central database 1305 the scan orders for that scanner node 1323 and processes 1425 a selected scan order 1100. The substeps for creating 1410 a scan order in Figure 14 are identical to the substeps of creating a scan order 310 in Figure 4, and the substeps of processing 1425 the scan orders are identical to the substeps for processing the scan order shown in Figure 6. After processing the scan order 1100 at the scanner node 1323, in the alternative embodiment 1400 of the computer network scanning method, the scanner node 1323 updates the central database 1305.

The above description is included to illustrate the operation of the preferred embodiments and is not meant to limit the scope of the invention. The scope of the invention is to be limited only by the following claims. From the above discussion, many variations will be apparent to one skilled in the art that would yet be encompassed by the spirit and scope of the present invention.

## Claims

We claim:

1. A computer network scanning system for fulfilling a scan order over a computer network, said system comprising:

5 at least one computer terminal adapted to receive input for creating the scan order;

at least one order entry server computer configured to create and distribute scan orders, each order entry server computer coupled to at least one terminal through the computer network; and

10 at least one scanner node, each scanner node coupled to each order entry server computer through the computer network, each scanner node configured to process scan orders sent to the scanner node.

2. The computer network scanning system of claim 1 further comprising a central database coupled via the computer network to each scanner node and to each terminal, the central database adapted to store and retrieve scan orders.

15 3. The computer network scanning system of claim 1 wherein each terminal has associated therewith browser software for inputting scan orders.

4. The computer network scanning system of claim 1 wherein each order entry server computer comprises:



a user interface module coupled to the computer network and adapted to receive scanner settings and parameters for the scan order from the terminal(s);

a scanner directory service module coupled to the user interface module and configured to provide a capability profile for each scanner node on the computer network;

5 a scan order reconciler module coupled to the scanner directory service module and to the user interface module and adapted to receive scanner settings and parameters for the scan order inputted through the user interface module, the scan order reconciler module configured to compare a capability profile for a scanner node with the inputted scanner settings and parameters for consistency and to provide notification through the user interface module of  
10 any inconsistencies;

a script writer module coupled to and adapted to receive input from the scan order reconciler module and configured to create the scan order by translating scanner settings and parameters inputted from the terminal through the user interface module into a script that can be parsed by the scanner nodes; and

15 an email server module adapted to receive the scan order from the script writer module and configured to send electronic mail messages to any address designated in the scan order and to send the scan order to any scanner node on the computer network.

5. The computer network scanning system of claim 4 wherein the scanner directory service module is a module selected from the group comprising (A) a database  
20 containing a capability profile for each scanner node on the computer network, the database populated by entering a capability profile for each scanner node before using the database, and

(B) a directory of capability profiles for the scanner nodes on the computer network generated on demand by a lookup/discovery software module.

6. The computer network scanning system of claim 1 wherein each scanner node comprises:

5 a user interface module;

a script interpreter module for parsing the scan order in order to obtain scanner settings and parameters contained therein, the script interpreter module coupled to the user interface module;

10 a scan order queue updater and sorter module coupled to the user interface module and to the script interpreter module, the scan order queue updater and sorter module configured to update and sort a queue of a scanner node;

a scanner driver module adapted to receive an output of the script interpreter module and to set settings and parameters of the scanner node based on the output;

15 a scanner module coupled to the scanner driver module and adapted to receive scanner settings and parameters from the scanner driver module and configured to produce a scanned image; and

20 an email server module coupled to the computer network, to the script interpreter module, and to the scanner module, the email server module configured to receive the scan order sent over the computer network, to send an electronic mail message containing the scanned image to any recipients indicated in the scan order, and to send an electronic mail message

without the scanned image to any parties indicated in the scan order notifying such parties of the completion of the scan order.

7. A computer network scanning method for fulfilling a scan order over a computer network having at least one scanner node, said method comprising:

5                   creating the scan order at a local computer terminal, wherein the scan order includes an identification of an item to be scanned and an address of at least one of the individuals selected from the group comprising (A) recipients of the scanned document, and (B) recipients of notification of completion of the scan order;

                  submitting the scan order to at least one scanner node for processing;

10                  processing the scan order at the scanner node; and

                  updating the scanner node(s) on the computer network.

8. The computer network scanning method of claim 7 wherein the step of creating the scan order comprises the substeps of:

15                  accessing from an order entry server computer a user interface module which permits input of the scan order from the terminal;

                  inputting from the terminal a desired set of scanner settings and parameters through the user interface module;

reconciling the inputted scanner settings and parameters with a capability profile associated with each scanner node designated in the scan order; and

converting the reconciled scanner settings and parameters into the scan order using a script writer module associated with the order entry server computer.

5                   9.       The method of claim 8 wherein the step of accessing comprises using Web browser software to retrieve a Web page, the Web page adapted to receive input concerning scanner settings and parameters.

10                   10.       The method of claim 8 wherein the step of reconciling comprises the substeps of:

10                   (a)       retrieving from a scanner directory service module the capability profile for each of the scanner nodes in the designated scan order;

                    (b)       comparing the retrieved capability profiles of the scanner nodes with the scan order; and

15                   (c)       when the scan order is inconsistent with a retrieved capability profile of a scanner node:

                    (I)       providing notification of the inconsistency through the user interface; and

20                   (II)       executing one step selected from the group comprising (A) the selection of an alternative scanner node and repeating steps (a) through (c) above, and (B) the acceptance of the scanner node with the associated capability profile.

11. The method of claim 7 wherein the step of submitting uses electronic mail.

12. The method of claim 7 wherein the step of processing comprises the substeps of:

invoking a scanning mode at the scanner node where the scan order is received;

5 parsing the scan order using a script interpreter module associated with the scanner node;

updating a queue of scan orders at the scanner node using a process which eliminates from the queue all scan orders that are time-expired or count-expired;

10 prioritizing all scan orders in the updated queue according to a predetermined algorithm; and

listing the prioritized scan orders.

13. The method of claim 12 wherein the step of updating a queue of scanner orders at a scanner node comprises the substeps of:

(a) determining whether the scan order has time-expired;

15 (b) when time-expired, removing the scan order from the queue;

(c) when not time-expired, determining whether the scan order has count-expired;

(d) when count-expired, removing the scan order from the queue;

(e) when not count-expired, determining whether there is a count reduction notification associated with such scan order; and

(f) when there is a count reduction notification, reduce count order associated  
5 with the scan order and repeat steps (a) through (f) above.

14. The method of claim 12 wherein the predetermined algorithm is an algorithm selected from the group comprising (A) first-in first-out, (B) alphabetical, and (C) requestor-specified priority level.

15. The method of claim 7 wherein the step of processing comprises the  
10 substeps of:

selecting one of the scan orders in the queue of the scanner node;

obtaining an item to be scanned as specified in the scan order;

setting the scanner node to desired settings and parameters as specified in the scan  
order;

15 placing the item to be scanned in the scanner node;

initiating scanning;

004020" 958542.3

sending a scanned image as specified in the scan order using an email server module associated with the scanner node; and

sending notification using the email server module associated with the scanner node of completion of the scan order to any parties indicated in the scan order.

5                    16.    The method of claim 15 wherein the step of setting the scanner node comprises the substeps of:

parsing the scan order using the script interpreter module associated with the scanner node; and

10                    sending commands to a scanner driver module associated with the scanner node based upon information obtained from the parsed scan order.

17.    The method of claim 7 wherein the step of updating the scanner node(s) on the computer network comprises the substeps of:

requesting count reduction of the scan order when count is greater than one, and requesting removal of the scan order from the queue of the scanner node when count equals one;

15                    determining whether the scan order has been sent to any other scanner node(s) in the computer network; and

when the scan order has been sent to other scanner node(s) on the computer network, sending an electronic mail message using the email server module from the scanner node which processed the scan order to each other scanner node, requesting (A) count reduction

of the scan order when count is greater than one, and (B) removal of the scan order from the queue of each other scanner node when count equals one.

18. The computer network scanning system of claim 1 wherein each order entry server computer comprises:

5 a user interface module coupled to the computer network and adapted to receive scanner settings and parameters for the scan order from the terminal(s);

a scanner directory service module coupled to the user interface module and configured to provide a capability profile for each scanner node on the computer network;

10 a scan order reconciler module coupled to the scanner directory service module and the user interface module and adapted to receive scanner settings and parameters for the scan order inputted through the user interface module, the scan order reconciler module configured to compare a capability profile for a scanner node with the inputted scanner settings and parameters for consistency and to provide notification through the user interface module of any inconsistencies;

15 a script writer module coupled to and adapted to receive input from the scan order reconciler module and configured to create the scan order by translating scanner settings and parameters inputted from the terminal through the user interface module into a script that can be parsed by the scanner nodes; and

20 a central database coupled to the script writer module and to the computer network, the central database accessible over the computer network by all scanner nodes and



terminals on the computer network, the central database adapted to store and retrieve scan orders generated by the script writer module.

19. The computer network scanning system of claim 18 further comprising an email server module coupled to the computer network and to the central database and configured to send electronic mail messages to any address designated in the scan order.

20. The computer network scanning system of claim 1 wherein each scanner node comprises:

a user interface module;

a script interpreter module for parsing the scan order in order to obtain scanner settings and parameters contained therein;

a scan order retrieval, queue updater and sorter module coupled to the computer network, to the user interface module, and to the script interpreter module, the scan order retrieval, queue updater and sorter module configured to retrieve scan orders from a central database and to update and sort retrieved scan orders in a queue in a scanner node;

a scanner driver module coupled to the script interpreter module, the scanner driver module adapted to receive an output of the script interpreter module and to set settings and parameters of the scanner node based on the output;

a scanner module coupled to the scanner driver module and adapted to receive scanner settings and parameters from the scanner driver module and configured to produce a scanned image; and

an email server module coupled to the computer network and to the scanner module, the email server module configured to receive the scanned image from the scanner module, to send an electronic mail message containing the scanned image to any recipients indicated in the scan order, and to send an electronic mail message without the scanned image to  
5 any parties indicated in the scan order notifying such parties of the completion of the scan order.

21. A computer network scanning method for fulfilling a scan order over a computer network having at least one scanner node, said method comprising:

creating the scan order at a local computer terminal, wherein the scan order  
10 includes an identification of an item to be scanned and an address of at least one individual selected from the group comprising (A) recipients of the scanned document, and (B) recipients of notification of completion of the scan order;

storing the scan order in a central database;

retrieving the scan order for a scanner node;

15 processing the retrieved scan order at the scanner node designated in the scan order; and

updating the central database.

22. The method of claim 21 wherein the step of updating the central database comprises deleting the scan order from the central database.

23. An electronically-readable medium storing a computer program for permitting a computer to perform a method comprising the steps of:

creating a scan order;

submitting the scan order for processing to scanner nodes on a computer network;

5 processing the scan order at the scanner nodes; and

updating the scanner node(s) on the computer network.

24. An electronically-readable medium storing a computer program for permitting a computer to perform a method comprising the steps of:

creating a scan order;

10 storing the scan order in a central database;

retrieving the scan order from the central database for processing at the scanner nodes designated in the scan order; and

updating the central database upon completion of the scan order.

DocId: 35564603

## Computer Network Scanning

### Abstract of the Disclosure

5 A computer network scanning system and method for fulfilling a scan order using at least one scanner node on a computer network. The computer network scanning system comprising: (A) at least one computer terminal adapted to receive input for the creation of the scan order; (B) at least one order entry server computer configured to create and distribute the scan order; and (C) at least one scanner node, each scanner node configured to process scan orders sent to or retrieved by the scanner node. The computer network scanning method comprising the steps of:

10 (W) creating a scan order; (X) submitting the scan order to a scanner node; (Y) processing the scan order at the scanner node; and (Z) updating the computer network scanning system of the completion of the scan order.

004020" 958542.3

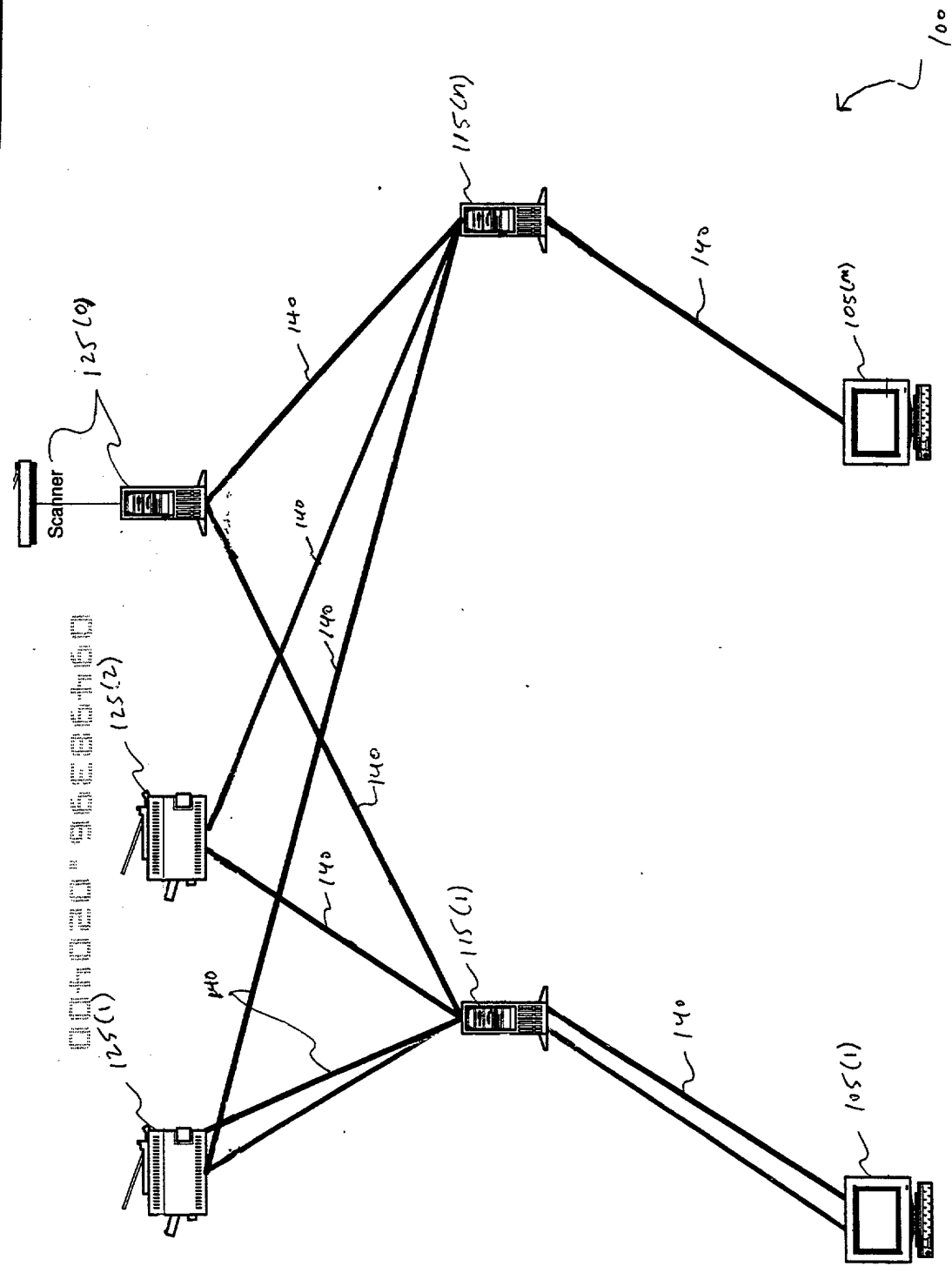


Figure 1

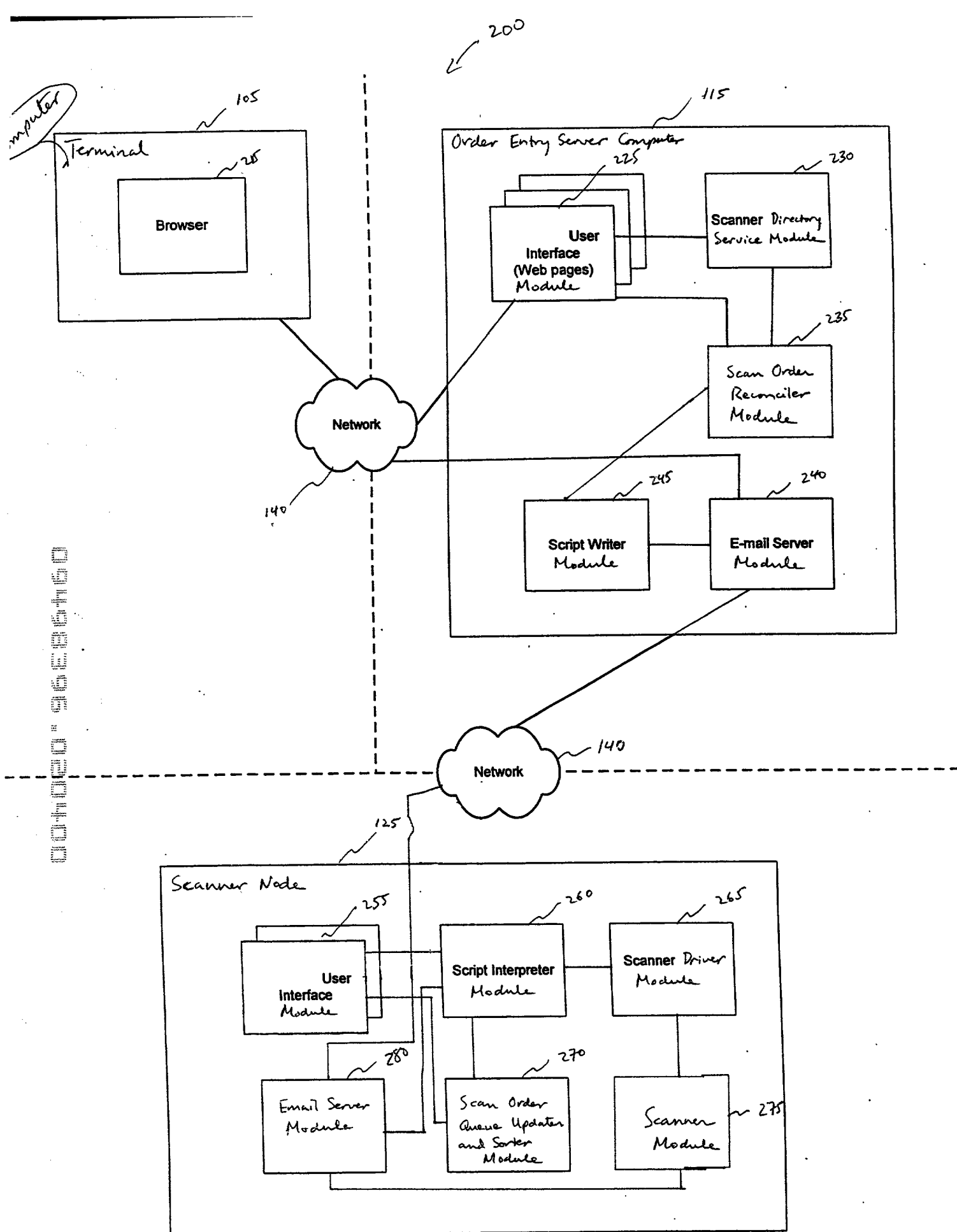


Figure 2

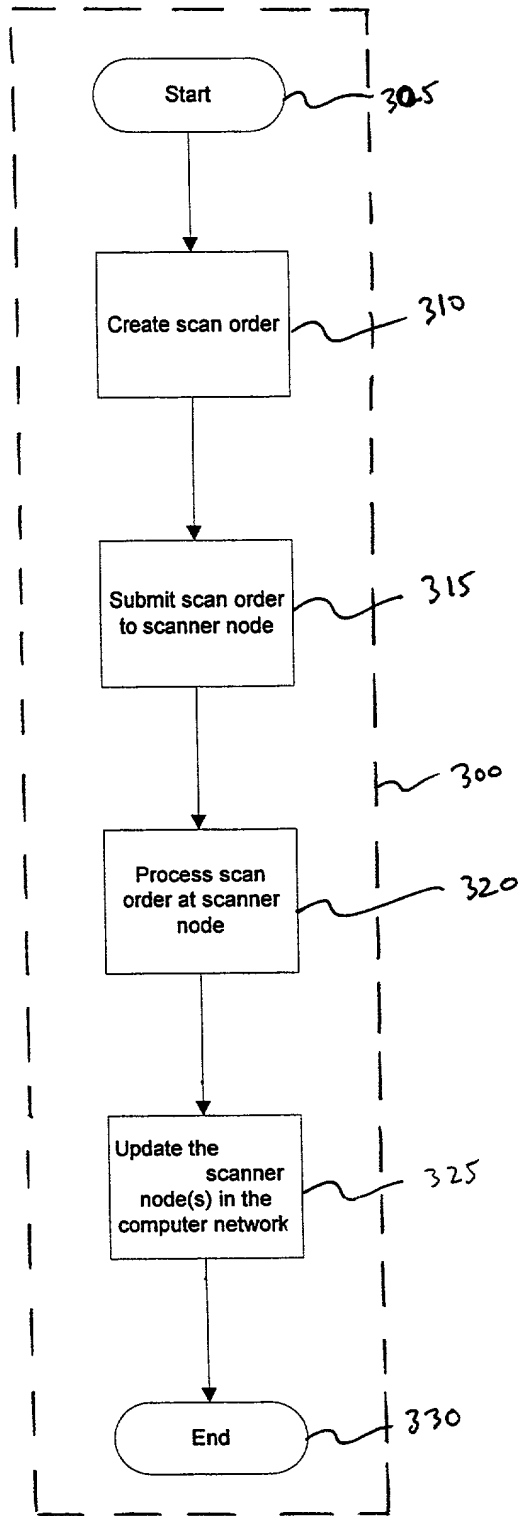


Figure 3

004020" 96E85460

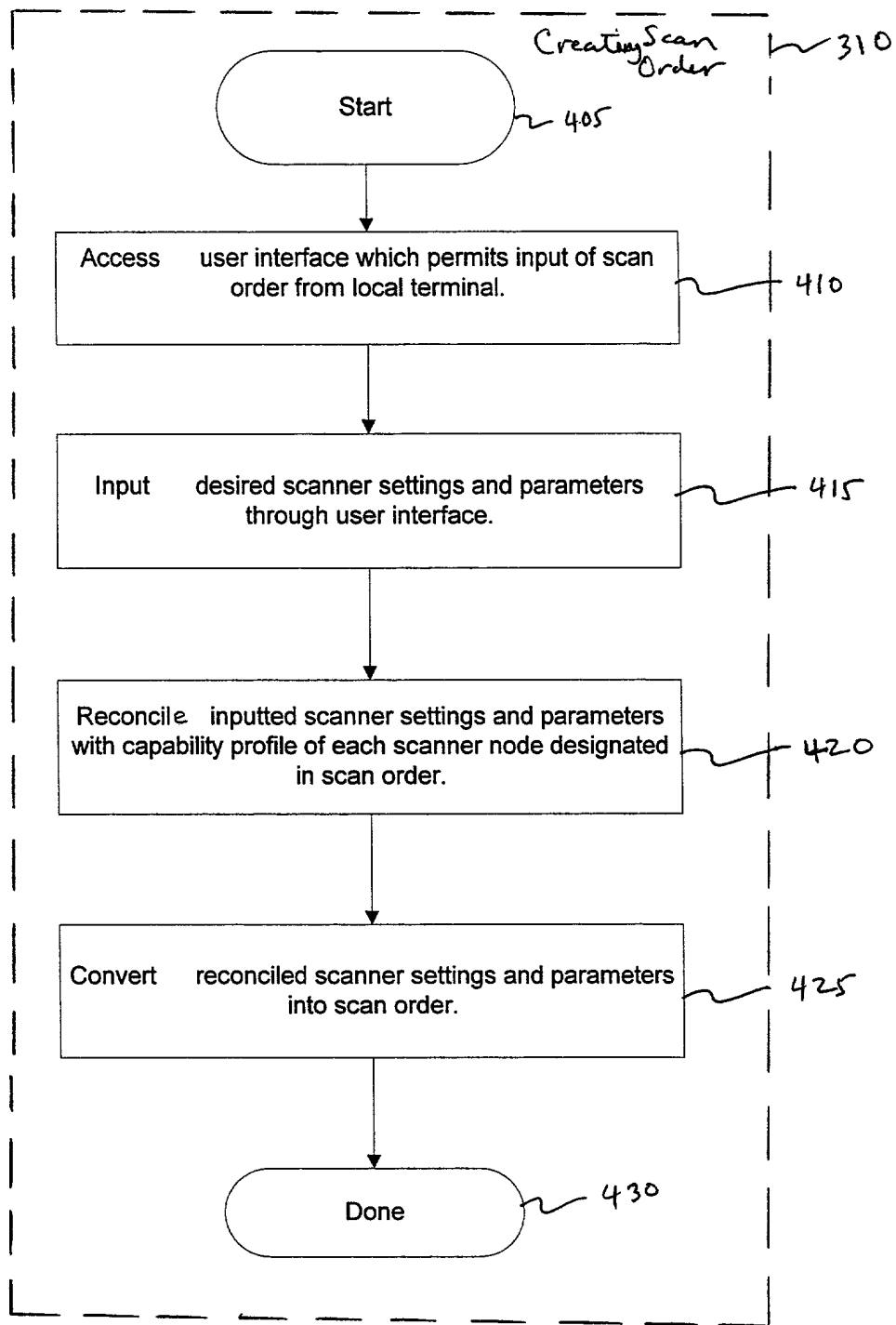


Figure 4



004020" 96E86460

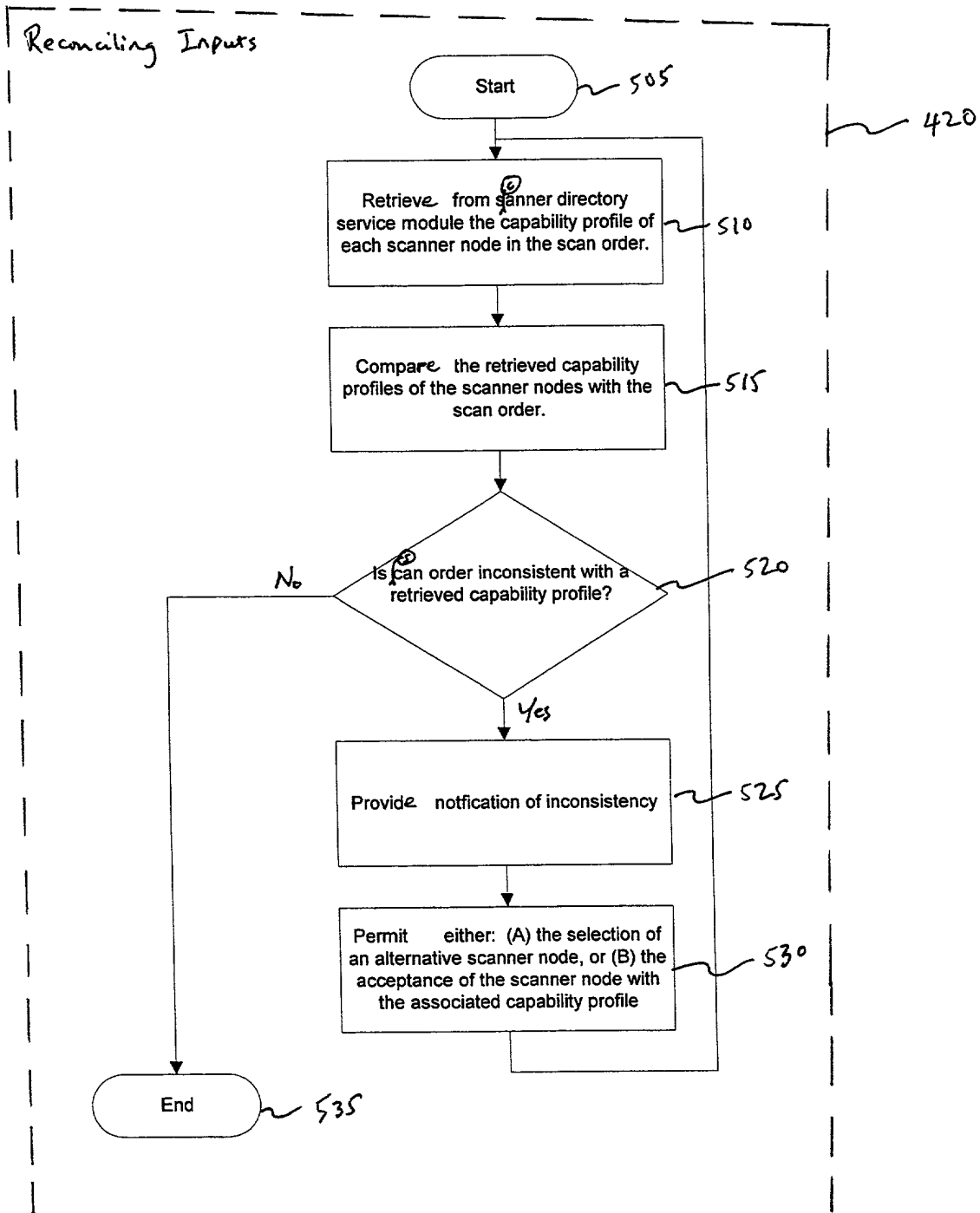


Figure 5

## Processing Scan Order

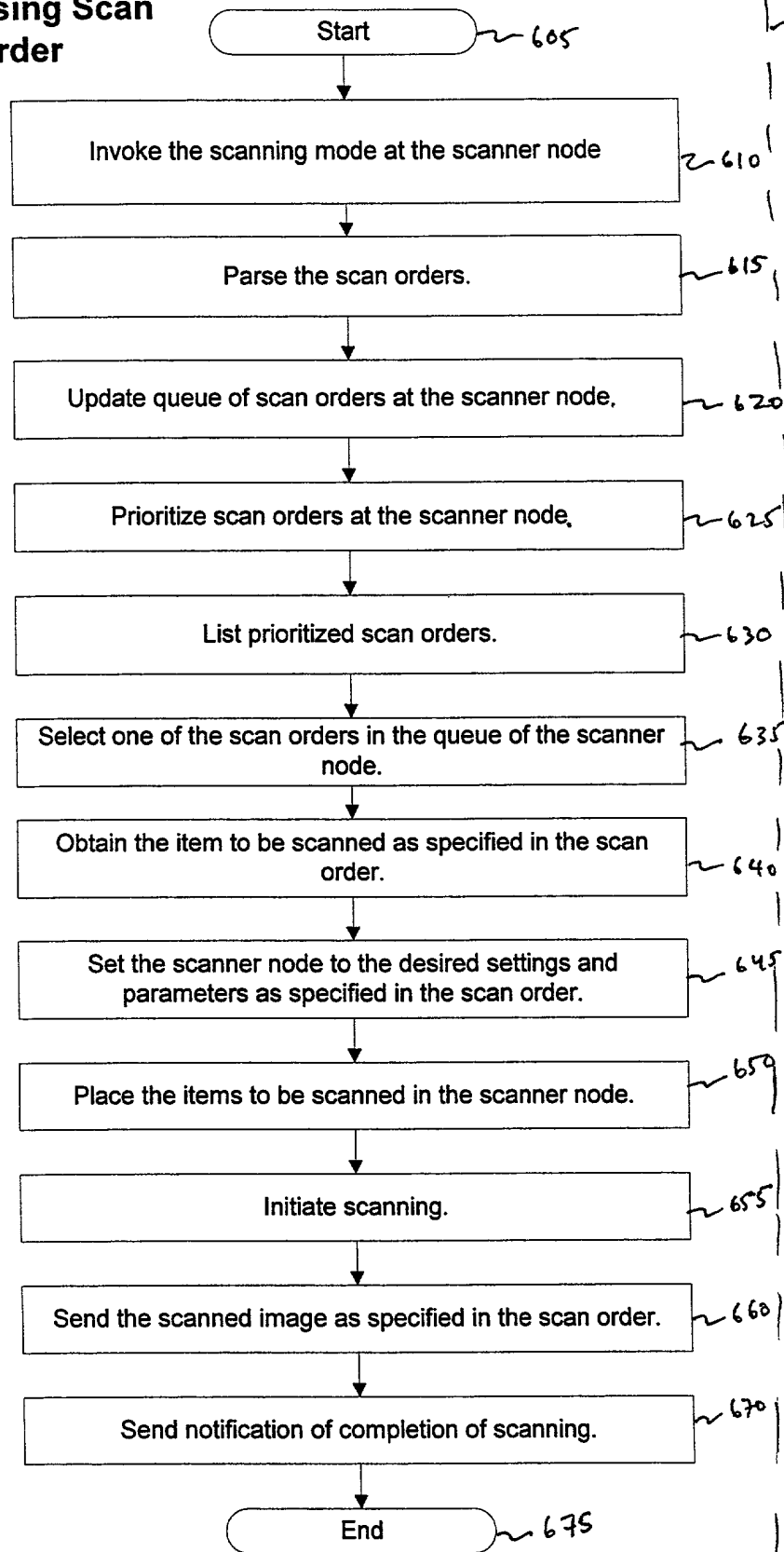
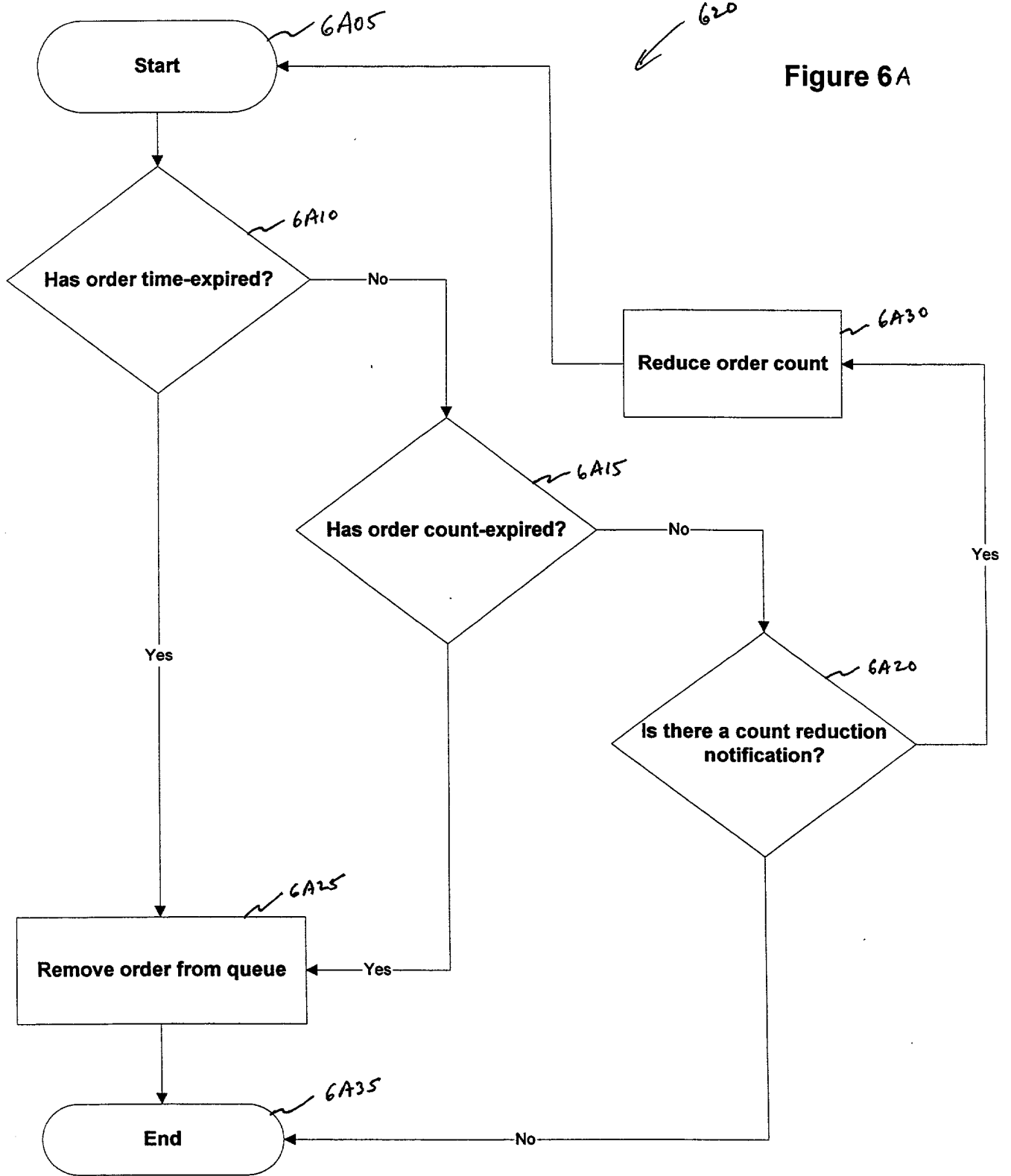


Figure 6



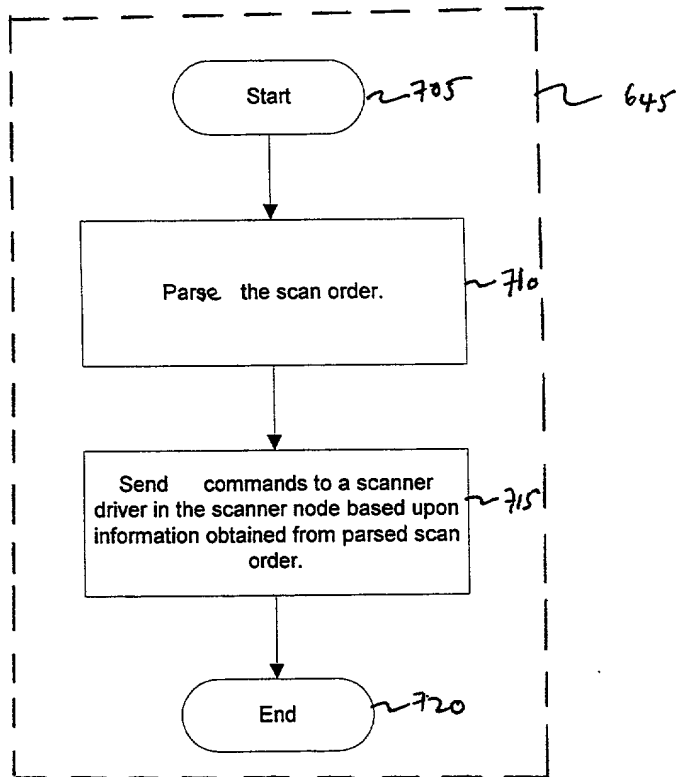


Figure 7

004036 0040

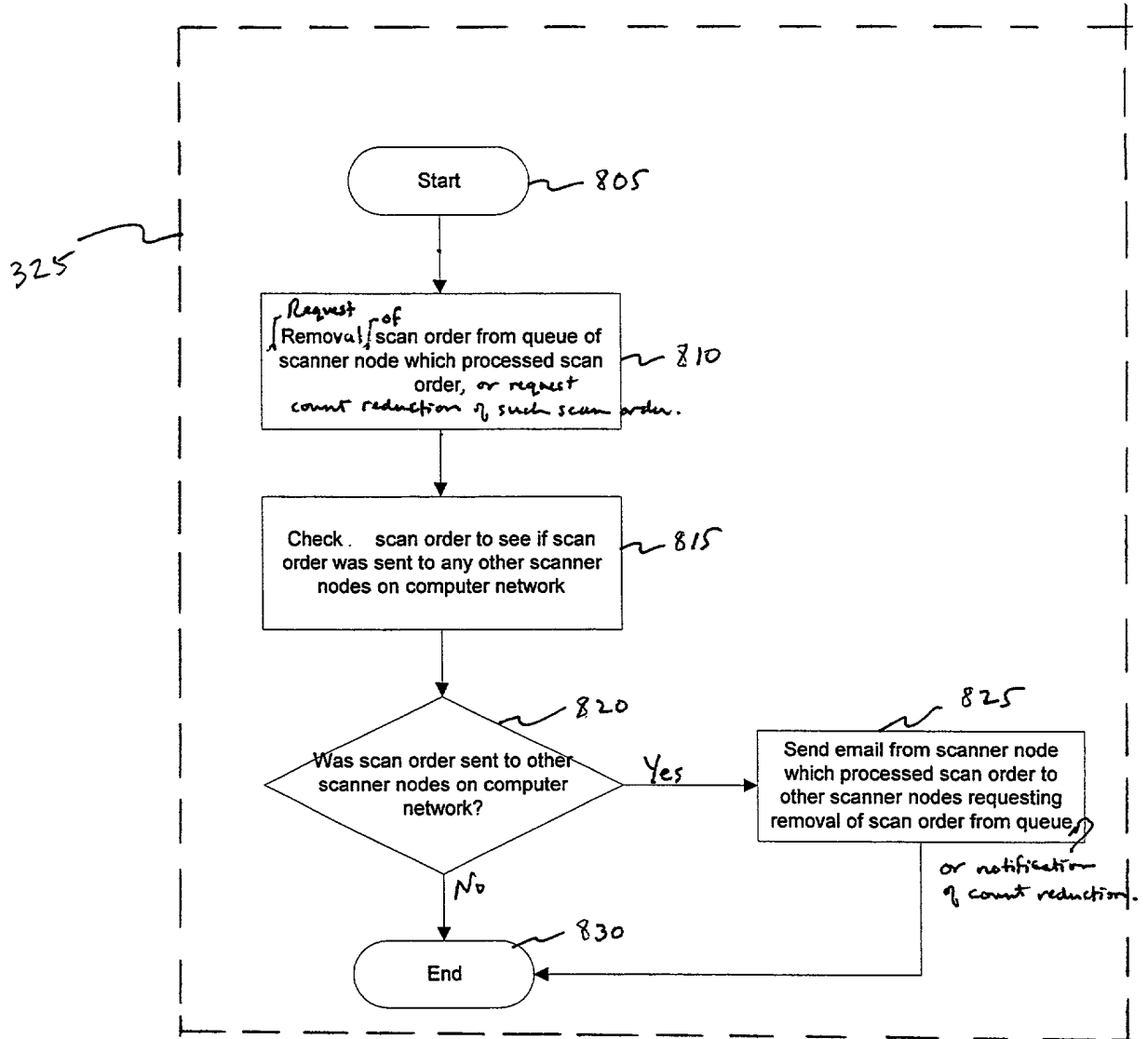


Figure 8

Document to be scanned:

Special Note:

Scan Order Expiration:

☒ Non-expiring

Expires at

☐ Date

Apr 7, 2000

☐ Count

100

Submit Scan Order

Device settings:

Scanner(s):

Figure 9

1000

Select	ID	Name	Model	Color	City	Location	Situated at	Contact Phone	Note
<input type="checkbox"/>	1	CRA alpha scanner	Alpha-01	NO	Palo Alto	Canon Reseach Center America	Central Copying Area		
<input type="checkbox"/>	2	Tokyo CB2	Alpha-01	NO	Tokyo	Canon Headquarters	Building CB, second floor print room		
<input type="checkbox"/>	3	Gerda	Alpha-01	NO	Frankfurt-am-Main	Canon Deutschland	Hans Bau		

Figure 10

004020" 35226460

1100  
↙

Date: 29 Dec 99 02:46:47  
From: sanoosh@cra.canon.com  
To: netscan@cra.canon.com  
<Scanner>100</Scanner>  
<Button>Submit Scan Order</Button>  
<Note>please scan all pages including blank ones</Note>  
<Document>rfc1939</Document>  
<Count>100</Count>  
<RequesterName>Saeed Anooshfar</RequesterName>  
<Time>Apr 7, 2000</Time>  
<RequesterEmail>sanoosh@cra.canon.com</RequesterEmail>  
<Recipients></Recipients>  
<Expiration>V1</Expiration>  
X-UIDL: 945910275  
Status: U

004020" 96E6460

Figure 11



<b>COPY</b>
<b>FAX</b>
<b>SCAN</b>
<b>SCAN ORDERS</b>
<b>MORE...</b>



rfc1939, per : Saeed Anooshfar  
Technical Report CA445, per : Milos Evalic  
Wyk specification, per : Saeed Anooshfar

Figure 12B

Figure 12

Scan Document

rfc1939

Additional Instructions:

please scan all pages including blank ones

Requested by

Saeed Anoooshfar

CANCEL

SCAN

Figure 12c

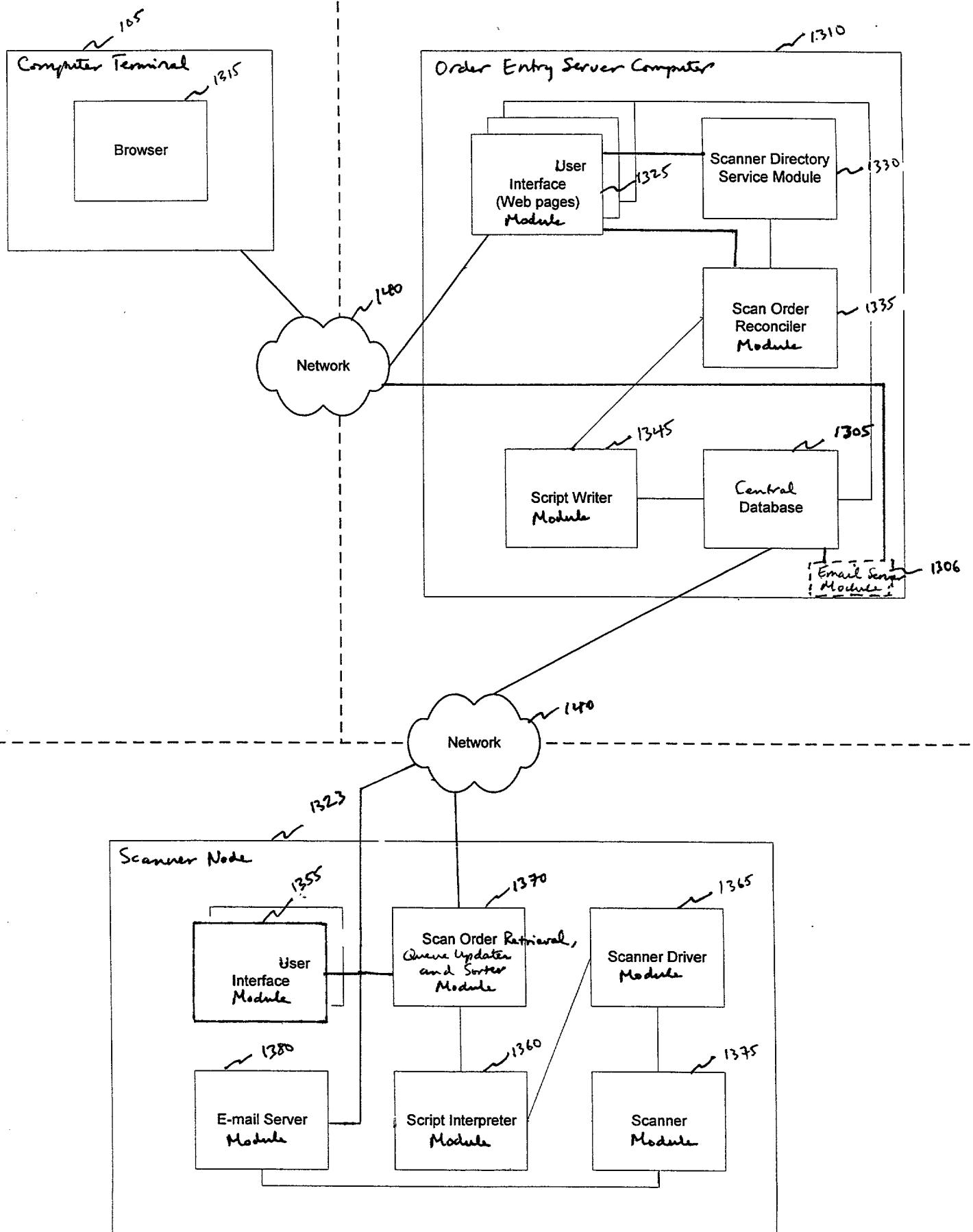


Figure 13

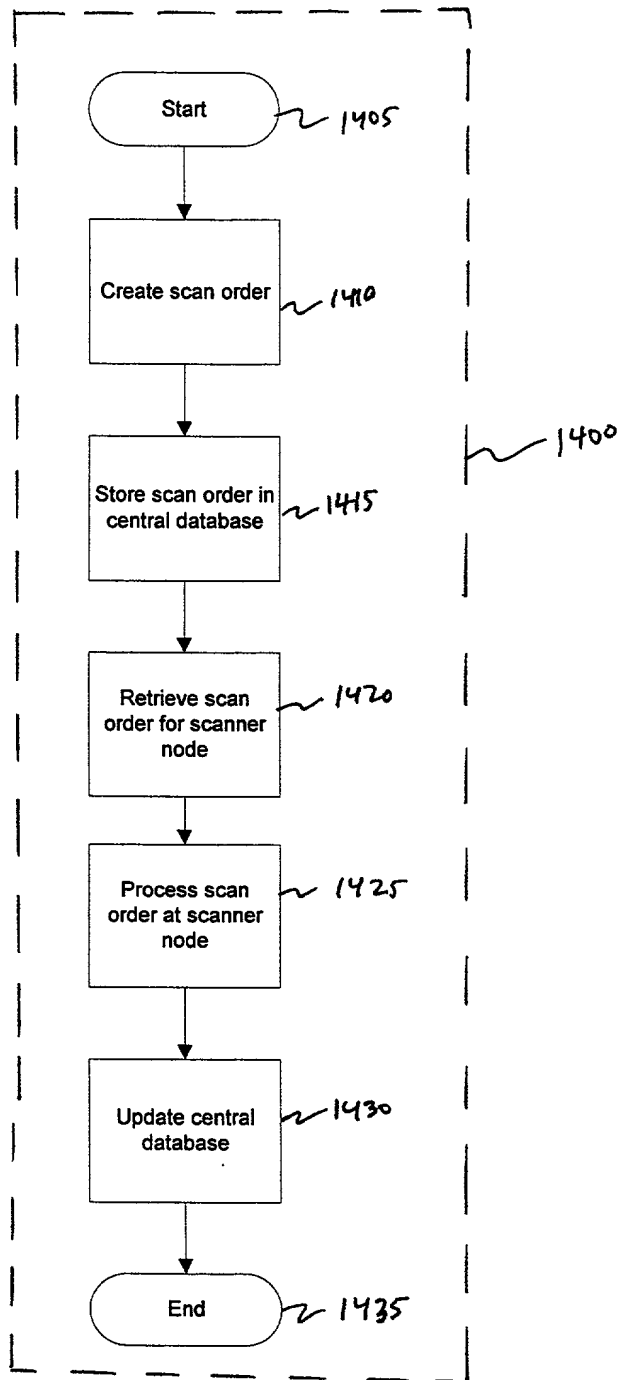


Figure 14

<b>0010/PTO</b> Rev. 6/95  <b>U.S. Department of Commerce</b> Patent and Trademark Office  <b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b>  <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing      OR <input type="checkbox"/> Declaration Submitted after Initial Filing	Attorney Docket Number	<b>3994(CFP1317US)</b>
	First Named Inventor	<b>Saeed Anooshfar</b>
	COMPLETE IF KNOWN	
	Application Number	<b>Not Yet Known</b>
	Filing Date	<b>2/4/00</b>
	Group Art Unit	<b>Not Yet Known</b>
	Examiner Name	<b>Not Yet Known</b>

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMPUTER NETWORK SCANNING

the specification of which

(Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations. § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 385(b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

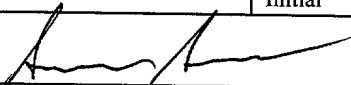
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority	Certified Copy Attached?	
			Not Claimed	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental sheet attached hereto.

0044363460 020400

DECLARATION				Page 2	
<p>I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.</p>					
U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)		
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.					
<p>As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:</p>					
Name		Registration Number	Name		Registration Number
Edward J. Radlo Michelle K. Lee		26,793	Brian M. Hoffman		39,713
		40,695			
<input type="checkbox"/> Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.					
Please direct all correspondence to:					
Michelle K. Lee Fenwick & West LLP Two Palo Alto Square Palo Alto, CA 94306 U.S.A.					
Telephone	(650) 858-7965		Fax	(650) 494-1417	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>					
Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Saeed	Middle Initial	Family Name	Anooshfar	Suffix e.g. Jr.
Inventor's Signature				Date	Feb 2, 2000
Residence: City	San Francisco	State	CA	Country	U.S.
				Citizenship	U.S.
Mailing Address	350 Union Street #508, San Francisco, CA 94133				
City	San Francisco	State	CA	Zip	94133
				Country	U.S.
<input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto					